

jc960 U.S. PTO  
06/22/01

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06-25-01

PTO/SB/05 (11-00)

Approved for use through 10/31/2002 OMB 0651-0032

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. CHEN-0085 CIP (108330.00090)

First Inventor Tian-Shung Wu

Title Anti-fungal Pharmaceutical Compositions Comprising an Active Ingredient Prepared from Zingiber officinal

Express Mail Label No. ET 236545190 US

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## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

- Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
- Applicant claims small entity status.  
See 37 CFR 1.27.
- Specification [Total Pages 24]
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [Total Sheets 1 ]
- Oath or Declaration [Total Pages 3 ]
  - Newly executed (original or copy)
  - Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 18 completed)
  - DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
- Application Data Sheet. See 37 CFR 1.76

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ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

- CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - Computer Readable Form (CRF)
  - Specification Sequence Listing on:
    - CD-ROM or CD-R (2 copies); or
    - paper
  - Statements verifying identity of above copies

## ACCOMPANYING APPLICATIONS PARTS

- Assignment Papers (cover sheet & document(s))
- 37 C.F.R. §3.73(b) Statement  Power of Attorney (when there is an assignee)
- English Translation Document (if applicable)
- Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
- Preliminary Amendment
- Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- Certified Copy of Priority Document(s) (if foreign priority is claimed)
- Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i) Applicant must attach form PTO/SB/35 or its equivalent.
- Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76

Continuation  Divisional  Continuation-in-part (CIP) of prior application No. 09 / 648,662

Prior application information: Examiner Herbert J. Lilling

Group / Art Unit. 1651

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label 25555 or  Correspondence address below  
(Insert Customer No. or Attach bar code label here)

Name	T. Ling Chwang				
Address	2435 N. Central Expressway, Suite 600				
City	Richardson	State	TX	Zip Code	75080
Country	USA	Telephone	972-744-2919		Fax 972-744-2909

Name (Print/Type)	T. Ling Chwang		Registration No. (Attorney/Agent)	33,590
Signature	T. Ling Chwang		Date	June 22, 2001

Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 494

## Complete if Known

Application Number	TBA
Filing Date	June 22, 2001
First Named Inventor	Tian-Shung Wu
Examiner Name	
Group / Art Unit	
Attorney Docket No.	CHEN-0085CIP (108330.00090)

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number

50-1752

Deposit Account Name

50-1752

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
 Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
	101	710	201	355		Utility filing fee	355
	106	320	206	160		Design filing fee	
	107	490	207	245		Plant filing fee	
	108	710	208	355		Reissue filing fee	
	114	150	214	75		Provisional filing fee	
SUBTOTAL (1)							(\$ 355)

## 2. EXTRA CLAIM FEES

Total Claims	31	-20	= 11	Extra Claims	X 9	Fee from below	Fee Paid
Independent Claims	2	-3	= 0		X 40	= 0	
Multiple Dependent					X 0	= 0	

Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description	
	103	18	203	9		Claims in excess of 20	
	102	80	202	40		Independent claims in excess of 3	
	104	270	204	135		Multiple dependent claim, if not paid	
	109	80	209	40		** Reissue independent claims over original patent	
	110	18	210	9		** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)							(\$ 99)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Fee Code	Large Entity Fee (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205	Surcharge - late filing fee or oath	
127	50	227	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	Non-English specification	
147	2,520	147	For filing a request for reexamination	
112	920*	112	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	Requesting publication of SIR after Examiner action	
115	110	215	Extension for reply within first month	
116	390	216	Extension for reply within second month	
117	890	217	Extension for reply within third month	
118	1,390	218	Extension for reply within fourth month	
128	1,890	228	Extension for reply within fifth month	
119	310	219	Notice of Appeal	
120	310	220	Filing a brief in support of an appeal	
121	270	221	Request for oral hearing	
138	1,510	138	Petition to institute a public use proceeding	
140	110	240	Petition to revive - unavoidable	
141	1,240	241	Petition to revive - unintentional	
142	1,240	242	Utility issue fee (or reissue)	
143	440	243	Design issue fee	
144	600	244	Plant issue fee	
122	130	122	Petitions to the Commissioner	
123	130	123	Petitions related to provisional applications	
126	180	126	Submission of Information Disclosure Stmt	
581	40	581	Recording each patent assignment per property (times number of properties)	40.00
146	710	246	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	Request for Continued Examination (RCE)	
169	900	169	Request for expedited examination of a design application	

Other fee (specify)

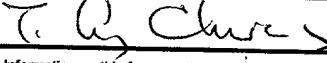
\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 40)

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	T. Ling Chwang	Registration No. Attorney/Agent)	33,590	Telephone	972-744-2919
Signature				Date	June 22, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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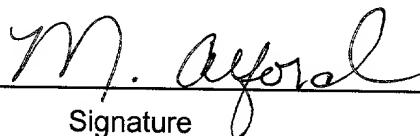
## Certificate of Express Mailing under 37 CFR 1.10

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Assistant Commissioner for Patents  
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on June 22, 2001.

Date



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Utility Patent Application Transmittal  
Fee Transmittal for FY2001  
Specification 24 pages  
Declaration and Power of Attorney  
Application Data Sheet  
Recordation Form Cover Sheet  
Assignment  
Two Checks  
Postcard

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